

PFW

PTO/SB/21 (09-04)

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

6

Application Number

10/615,572

Filing Date

July 8, 2003

First Named Inventor

Robert R. Hunter

Art Unit

2857

Examiner Name

Carol S. TSAI

Attorney Docket Number

375283-00102 (352712)

ENCLOSURES (Check all that apply)

☐

Fee Transmittal Form

☐

Fee Attached

☐

Amendment/Reply

☐

After Final

☐

Affidavits/declaration(s)

☐

Extension of Time Request

☐

Express Abandonment Request

☒

Information Disclosure Statement

- including 1449 form

☐

Certified Copy of Priority Document(s)

☐

Reply to Missing Parts/
Incomplete Application

☐

Reply to Missing Parts
under 37 CFR 1.52 or 1.53

☐

Drawing(s)

☐

Licensing-related Papers

☐

Petition

☐

Petition to Convert to a
Provisional Application

☐

Power of Attorney, Revocation
Change of Correspondence Address

☐

Terminal Disclaimer

☐

Request for Refund

☐

CD, Number of CD(s) _____

☐ Landscape Table on CD

☐

After Allowance Communication to TC

☐

Appeal Communication to Board
of Appeals and Interferences

☐

Appeal Communication to TC
(Appeal Notice, Brief, Reply Brief)

☐

Proprietary Information

☐

Status Letter

☒

Other Enclosure(s) (please identify
below):

11 references as cited in the 1449 form

Remarks

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name

Dechert LLP, P.O. Box 10004, Palo Alto, CA 94303-0961

Signature

Printed name

Justin Boyce

Date

03/07/2005

Reg. No.

40,920

Express Mail mailing label No.

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CERTIFICATE OF TRANSMISSION/MAILING

Facsimile No. xxxxx

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as First Class mail in an envelope addressed to: Commissioner for Patents, MAIL STOP AMENDMENT, P.O. Box 1450, Alexandria, VA 22313 on the date shown below:

Signature

Typed or printed name

Everett La Pointe

Date

03/07/2005

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Robert R. Hunter

Docket No. : 375283-00102 (352712)

Serial No. : 10/615,572

Art Unit: 2857

Filed: July 8, 2003

Examiner: Carol S. TSAI

Title: Method and Apparatus for Reading and Controlling Utility Consumption

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:


This Information Disclosure Statement is submitted:

- ☐ under 37 CFR 1.97(b), or
(within three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)
- ☒ under 37 CFR 1.97(c) together with either a:
 - ☒ Certification under 37 CFR 1.97(e), or
 - ☐ a \$220.00 fee under 37 CFR 1.17(p), or
(After the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97(d) together with a:
 - ☐ Certification under 37 CFR 1.97(e), and
 - ☐ a \$220.00 fee under 37 CFR 1.17(d)(2)(ii), and
 - ☐ a \$130.00 petition fee set forth in 37 CFR 1.17(i)(1)
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)
- ☒ The Commissioner is authorized to charge any additional fees or credit any overpayment to **Deposit Account No. 50-2778**(Order No. 375283-00102 (352712)). A copy of this sheet is enclosed for accounting purposes.

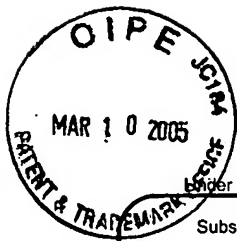
(✓) Applicant submits herewith the references listed on the attached form PTO-1449 of which Applicant is aware and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56. The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 CFR 1.97(g)), nor as an admission that the information cited is, or is considered to be, material to patentability, nor an admission that no other material information exists. The filing of this information disclosure statement shall not be construed as an admission against interest in any manner.

() A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individual(s) designated in 37 CFR 156(c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

Respectfully Submitted,


Justin Boyce
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PTO/SB/08A (08-03)

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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 4 of 6

Complete if Known

Application Number	10/615,572
Filing Date	July 8, 2003
First Named Inventor	Robert R. Hunter
Art Unit	2857
Examiner Name	Carol S. TSAI
Attorney Docket Number	375283-00102 (352712)

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
	AA	US- 4,216,384	08-1980	HURLEY, Frederick A.	
	AB	US- 5,721,659	02-1998	YOUNG, Danny J.	
	AC	US- 5,721,934	02-1998	SCHEURICH, Christoph	
	AD	US- 5,805,458	09-1998	MCNAMARA et al.	
	AE	US- 6,226,600	05-2001	RODENBERG, et al.	
	AF	US- 6,301,674	10-2001	SAITO, et al.	
	AG	US- 6,311,105	10-2001	BUDIKE, Jr., Lothar E.S.	
	AH	US- 6,476,728	11-2002	SAKAKIBARA, Ken	
	AI	US- 6,483,291	11-2002	BHATEJA et al.	
	AJ	US- 6,772,052	08-2004	AMUMDSEN, et al.	
	AK	US- 6,826,695	12-2004	HANSEN, Rick L.	
		US-			
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		US-			
		US-			

FOREIGN PATENT DOCUMENTS

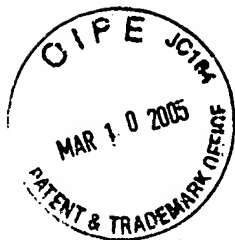
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		Country Code ³ - Number ⁴ - Kind Code ⁵ (if known)				

Examiner
SignatureDate
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Robert R. Hunter

Docket No. : 375283-00102

Serial No. : 10/615,572

Art Unit: 2857

Filed: July 8, 2003

Examiner: Carol S. TSAI

Title: Method and Apparatus for Reading and Controlling Utility Consumption

**INFORMATION DISCLOSURE STATEMENT
~ CERTIFICATION ~**

MAIL STOP AMENDMENT
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Alexandria, VA 22313-1450

I, the person signing below, certify

() that each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement. (37 CFR 1.97(e)(1))

(✓) that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the statement. 37 CFR 1.97(e)(2).

The person making this certification is the attorney who signs below on the basis of the information:

- ☐ supplied by the inventor(s)
- ☐ supplied by an individual designated in §1.56(c)
- ☒ in the attorney's file

Respectfully Submitted,

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